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| | | | | | TO THE UNITED STATES | ATTORNEY'S DOCKET NO. | | | | | | | | |
|---|-------------|--|-------------|-----------------|--|---|--|--|--|--|--|--|--|--|
| | | | | | D OFFICE (DO/EO/US) | 9378/207 (FP 23680) | | | | | | | | |
| | | | | | SION UNDER 35 U.S.C. 371 | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | | | | | |
| | | ATIONAL 2004/0014 | APPLICAT | TION NO. | INTERNATIONAL FILING DATE October 14, 2004 | PRIORITY DATE CLAIMED October 14, 2003 (2003905595) | | | | | | | | |
| TITLE OF INVENTION ELECTROCHEMICAL REDUCTION OF METAL OXIDES | | | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | | | |
| GREGORY DAVID RIGBY et al. | | | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 | | | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 | | | | | | | | | | | | |
| 3. | □ (21) | This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (1) indicated below. | | | | | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | | |
| | | a. | \boxtimes | is transmitte | ed herewith (required only if not transmitte | d by the International Bureau). | | | | | | | | |
| | | b. 🛛 has been transmitted by the International Bureau. | | | | | | | | | | | | |
| | | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | | |
| 6. | | An Engli | sh translat | ion of the Inte | ernational Application into English (35 U.S | .C. 371(c)(2)). | | | | | | | | |
| | | a. | | is attached | hereto. | | | | | | | | | |
| | | b. | | has been p | reviously submitted under 35 U.S.C. 154(d | d)(4). | | | | | | | | |
| 7. | \boxtimes | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | | | |
| | | a. | | are transmi | tted herewith (required only if not transmit | ted by the International Bureau). | | | | | | | | |
| | | b. | | have been | transmitted by the International Bureau. | | | | | | | | | |
| | | c. | | have not be | en made; however, the time limit for maki | ng such amendments has NOT expired. | | | | | | | | |
| | | d. | \boxtimes | have not be | een made and will not be made. | | | | | | | | | |
| 8. | | An Engli | sh translat | ion of the am | endments to the claims under PCT Article | 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. | | An oath | or declarat | tion of the inv | rentor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | | An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34. | | | | | | | | | | | | |
| Iten | ns 11 | to 20 Be | low conce | ern other do | cument(s) or information included: | | | | | | | | | |
| 11. | \boxtimes | An Inform | nation Dis | closure State | ment under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 20. | \boxtimes | Other items or information: Return Post card. | | | | | | | | | | | | |
| | | | | | | . 1 | | | | | | | | |

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| U.S. APPLICATION | NO. (If known, see 37 C.F.R. 1.50) | | INTERNATIO | NAL APP | PLICATION NO. | ATTORNEY'S DOCKE | TNO | | | | |
|---|--|---------------------|--------------------------|--------------------|----------------------------|--|--------------|--|--|--|--|
| 4 6 | IFTERNA | 9378/207 (FP 23680) | | | | | | | | | |
| | | CALCULATIONS | PTO USE ONLY | | | | | | | | |
| 21. A The follow | wing fees are submitted: | | | | \$300.00 | | | | | | |
| b) Examination fee | 5 5 | ቁვის.იი የ2ስስ በስ | | | | | | | | | |
| Search Fee | ee | | | | | | | | | | |
| | | | | | | | | | | | |
| | TOTAL OF ABOVE CALCULATIONS | 1000.00 | | | | | | | | | |
| | pecification and drawings filed in paper ov | | | | | | | | | | |
| | an electronic medium). The fee is \$250 for | | | | | \$ | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | | | | |
| Claims | Number Filed | Т | Number Ex | tra | Rate | <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u> | | | | | |
| Total Claims | | 12 | | 0 | x \$ 50.00 | - | | | | | |
| Independent Claims | | 2 | | 0 | x \$ 200.00 | | | | | | |
| Multiple dependent clair | m(s) if Applicable) | | | | +\$360.00 | \$0.00 | | | | | |
| | | | | | | | | | | | |
| Applicant claims si | mall entity status. See 37 CFR 1.27. The | fees ind | licated above ar | e reduced | | | | | | | |
| D | 2006 | | | | SUBTOTAL = | \$ | | | | | |
| Processing fee of \$130 priority date (37 CFR 1. | 0.00 for furnishing the English translation | • | | | | | | | | | |
| phonty date (57 OF CT) | 452(1)). | - | · · | . T | OTAL NATIONAL FEE= | 1000.00 | | | | | |
| Fee for recording th | ne enclosed assignment (37 CFR 1.21(h)). | The as | signment must | | | 1000.00 | | | | | |
| | | cove | r sheet (37 CFR | 3.28, 3.3 | 1), \$40.00 per property + | | | | | | |
| | | 1000.00 | | | | | | | | | |
| | | | | | | Amount to be refunded | \$ | | | | |
| | A charles the second of | | | | | Charged | \$ | | | | |
| a. 🗆 | A check in the amount of \$ | to cove | r the above fees | s is enclos | ed. | | | | | | |
| b. 🗆 | Please charge my Deposit Account No. 2 | 23-1925 | in the amount o | of \$1 | o cover the above fees. A | A duplicate copy of this sheet | is enclosed. | | | | |
| c. 🔀 | The Commissioner is hereby authorized to charge any additional fees—which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. 🗖 | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| | on the form. I forme credit card intotti | iauvii aii | id addionzagon | OH F 1 0-21 | <i>)</i> 30. | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | |
| CORRESPON | IDENCE Address: | | | | | | | | | | |
| please recogn | ize the correspondence address for | or this a | | | A | | | | | | |
| • | s associated with the following Cus r No. 00757 - Brinks Hofer Gilson | | | | MAA | | | | | | |
| | | | Signature / Ville Auro | | | | | | | | |
| | | | Name G. Peter Nichols | | | | | | | | |
| | | | | tion Number 34,401 | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 12 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.